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Non-unique Factorization Domain,  
but Factorization Domain.

2008

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# Non-unique Factorization Domain, but Factorization Domain.

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이 논문을 석사학위논문으로 제출함.

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# 인 준 서

임선영의 석사학위 논문으로 인준함.

심사위원 \_\_\_\_\_ 인

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## 논문개요

이 논문에서는 factorization domain이지만, unique factorization domain이 아닌 integral domain에 대해 연구하였다. 소수  $p$ 에 대해 integral domain인  $D = Z[\sqrt{-p}] = \{a + b\sqrt{-p}d, b \in Z\}$ 이 factorization domain이지만 unique factorization domain가 아닌 것을 증명하였다. 그리고 integral domain인  $D = Z\{\sqrt{p}\}$ 와  $D = Z[\sqrt{2p}]$ 이 factorization domain이지만 unique factorization domain가 아닌 몇 개의 예를 만들었다.

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ABSTRACT

## 1. Introduction

$\mathbb{Z}$  and  $\mathbb{Q}$  are rings of integers and rational numbers respectively, and let  $D$  be an integral domain.

In this thesis, we study an integral domain which is not a unique factorization domain, but a factorization domain.

In Section 2, we prove that an integral domain  $D = \mathbb{Z}[\sqrt{-p}] = \{a + b\sqrt{-p} \mid a, b \in \mathbb{Z}\}$ , for a prime number  $p$ , is not a UFD, but a FD. Furthermore, we make some examples of an integral domain  $D = \mathbb{Z}[\sqrt{-p}]$  which is a factorization domain, but not a UFD.

In Section 3, for making some examples of a non-UFD but a FD of type  $\mathbb{Z}[\sqrt{2p}]$ , we first define the concepts of a quadratic residue and a quadratic nonresidue. Then we introduce the *Legendre symbol*, a notation that tells us whether an integer  $a$  is a quadratic nonresidue of  $p$ . Also we introduce *Euler's Criterion* so that we can determine whether an integer  $a$  is a quadratic nonresidue of  $p$ . And using *Euler's Criterion*, we can determine  $-1$  and  $2$  are quadratic nonresidues of  $p$ .

In the end, we make some examples of an integral domain  $D = \mathbb{Z}[\sqrt{2p}]$  which is a factorization domain, but not a UFD.

## 2. Preliminaries Notations and Definitions

**Definition 1** ([2], [3]). Let  $R$  be a commutative ring with unity 1.

- (a) Let  $a, b \in R$ . If there exists  $c \in R$  such that  $b = ac$ , then  $a$  **divides**  $b$  (or  $a$  is a **factor of**  $b$ ), denoted by  $a \mid b$ .
- (b) An element  $u$  of  $R$  is a **unit of**  $R$  if  $u$  divides 1, that is, if  $u$  has a multiplicative inverse in  $R$ . Two elements  $a, b \in R$  are **associates in**  $R$  if  $a = bu$  where  $u$  is a unit in  $R$ .

**Definition 2** ([2], [3]). (a) A nonzero element  $p$  that is not a unit of an integral domain  $D$  is an **irreducible of**  $D$  if in every factorization  $p = ab$  in  $D$  has the property that either  $a$  or  $b$  is a unit.

- (b) An integral domain  $D$  is a **factorization domain** (abbreviated FD) if every element in  $D$  which is neither 0 nor a unit can be factored into a product of a finite number of irreducibles.
- (c) A factorization domain  $D$  is a **unique factorization domain** (abbreviated UFD) if  $p_1 \cdots p_r$  and  $q_1 \cdots q_s$  are two factorizations of the same element of  $D$  into irreducibles, then  $r = s$  and the  $q_j$  can be renumbered so that  $p_i$  and  $q_j$  are associates.

**Definition 3** ([2], [3]). Let  $D$  be an integral domain. A **multiplicative norm**  $N$  on  $D$  is a function mapping  $D$  into the integers  $\mathbb{Z}$  such that the following conditions are satisfied:

- (a)  $N(\alpha) = 0$  if and only if  $\alpha = 0$ .
- (b)  $N(\alpha\beta) = N(\alpha)N(\beta)$  for all  $\alpha, \beta \in D$ .

**Remark 4.** (a) Let  $D$  be an integral domain with a multiplicative norm  $N$ ,  $N(1) = N(1^2) = N(1)^2$ . Thus  $N(1) = 1$  since  $N(1) \neq 0$ . Furthermore, if  $u$  is a unit in  $D$ , that is,  $uu^{-1} = 1$ , then  $N(uu^{-1}) = N(u)N(u^{-1}) = N(1) = 1$ . Therefore  $|N(u)| = 1$  for every unit  $u$  in  $D$ .

- (b) Let  $D$  and  $N$  be as in (a). If every  $\alpha$  such that  $|N(\alpha)| = 1$  is a unit in  $D$ , then an element  $\pi$  in  $D$  with  $|N(\pi)| = p$  for a prime  $p \in \mathbb{Z}$  is an irreducible of  $D$ . In fact, let  $\pi = \alpha \cdot \beta$  where  $\alpha, \beta \in D$ . Then  $N(\pi) = N(\alpha \cdot \beta) = N(\alpha) \cdot N(\beta) = p$  is a prime, i.e.,  $|N(\alpha)| = 1$  or  $|N(\beta)| = 1$ , and thus either  $\alpha$  or  $\beta$  is a unit in  $D$ .

Recall that

- $a^2$  is a *perfect square* when  $a \in \mathbb{Z}$  and  $a \geq 2$ , and
- a *square free integer* is an integer that is not divisible by any perfect squares other than 1.

The following lemma is a straightforward computation.

**Lemma 5.** *Let  $p$  be a prime number and let  $D = \mathbb{Z}[\sqrt{-p}]$ . Define  $N$  on  $D$  by*

$$N(a + b\sqrt{-p}) = a^2 + pb^2, \quad a, b \in \mathbb{Z}.$$

Then

- $N$  is a multiplicative norm on  $D$ .
- $\alpha \in D$  is a unit of  $D$  if and only if  $\alpha = \pm 1$ .

*Proof.* (a) Let  $\alpha = a + b\sqrt{-p}$  with  $a, b \in \mathbb{Z}$ . Then it is obvious that  $N(\alpha) = a^2 + pb^2 = 0$  if and only if  $a = b = 0$ , that is,  $\alpha = 0$ .

Now let  $\alpha = a + b\sqrt{-p}$  and  $\beta = c + d\sqrt{-p} \in D$  where  $a, b, c$  and  $d$  in  $\mathbb{Z}$ . Then

$$\begin{aligned} N(\alpha \cdot \beta) &= N((a + b\sqrt{-p}) \cdot (c + d\sqrt{-p})) \\ &= N((ac - bdp) + (ad + bc)\sqrt{-p}) \\ &= (ac - bdp)^2 + p(ad + bc)^2 \\ &= a^2c^2 + b^2d^2p^2 + a^2d^2p + b^2c^2p \\ &= a^2c^2 + b^2d^2p^2 + p(a^2d^2 + b^2c^2), \text{ and} \\ N(\alpha) \cdot N(\beta) &= (a^2 + pb^2) \cdot (c^2 + pd^2) \\ &= a^2c^2 + a^2d^2p + b^2c^2p + b^2d^2p^2 \\ &= a^2c^2 + b^2d^2p^2 + p(a^2d^2 + b^2c^2), \end{aligned}$$

and hence  $N(\alpha \cdot \beta) = N(\alpha) \cdot N(\beta)$ .

(b) Let  $\alpha = a + b\sqrt{-p}$  be a unit in  $D$  with  $a, b \in \mathbb{Z}$ . Then by Remark 4(b),  $|N(\alpha)| = |N(a + b\sqrt{-p})| = |a^2 + pb^2| = a^2 + pb^2 = 1$ . Since  $p > 1$ , we have  $a = \pm 1$  and  $b = 0$ , that is,  $\alpha = \pm 1$ .

Moreover, it is obvious that  $\alpha = \pm 1$  is a unit in  $D$ , which completes the proof.  $\square$

**Lemma 6.** *Let  $D$  and  $N$  be as in Lemma 5. Then  $D$  is a FD.*

*Proof.* We shall prove this by induction on  $|N(\alpha)|$ . First, assume that  $|N(\alpha)| = 2$ . Then  $|N(\alpha)| = 2$  is a prime in  $\mathbb{Z}$ , and so  $\alpha$  is an irreducible of  $D$  by Remark 4 (b).

Now assume  $|N(\alpha)| > 2$ . If  $\alpha$  is an irreducible of  $D$ , then we are done. Suppose  $\alpha = \beta \cdot \gamma$  with  $\beta, \gamma \in D$  and  $\beta, \gamma$  are non-units. Since

$$\begin{aligned} |N(\alpha)| &= |N(\beta \cdot \gamma)| \\ &= |N(\beta) \cdot N(\gamma)| \\ &= |N(\beta)||N(\gamma)|, \end{aligned}$$

$|N(\beta)| > 1$  and  $|N(\gamma)| > 1$ , we have  $1 < |N(\beta)|, |N(\gamma)| < |N(\alpha)|$  by Lemma 5 (b) (or Remark 4(a)). Hence by induction on  $|N(\alpha)|$ ,  $\beta = p_1 \cdots p_r$ ,  $\gamma = q_1 \cdots q_s$  where  $p_i, q_j$  are all irreducibles of  $D$ . Therefore,  $\alpha = \beta \cdot \gamma = (p_1 \cdots p_r) \cdot (q_1 \cdots q_s)$ , as we wished.  $\square$

Here is a theorem of an integral domain which is not a UFD, but a FD.

**Theorem 7.** *Let  $D$  and  $N$  be as in Lemma 5 and let  $a \in \mathbb{Z} - \{0\}$ . If  $1 + a^2p = qr$  for some distinct prime numbers  $q, r \in \mathbb{Z} - \{0\}$  with  $q < p$ . Then  $D$  is not a UFD, but a FD.*

*Proof.* By Lemma 6,  $D$  is a FD. Hence it suffices to show that there is a non-zero and non-unit element  $\alpha \in D$  which can be factored by two different products of irreducibles of  $D$ . Let  $\alpha = 1 + a\sqrt{-p}$ .

First, note that if  $\beta = c + d\sqrt{-p}$  with  $c, d \in \mathbb{Z}$ ,  $d \neq 0$ , then

$$(1) \quad N(\beta) = c^2 + d^2p \geq p > q.$$

Note that if  $\beta = c + d\sqrt{-p}$  with  $c, d \in \mathbb{Z}$ ,  $\beta$  divides  $\alpha$ , and  $d = 0$ , then  $\beta$  is a unit in  $D$ . In fact, if  $\beta = c \in \mathbb{Z}$ , then  $c \mid 1$ , which means that  $\beta$  is a unit of  $D$ . In other words, if  $\beta$  divides  $\alpha$ ,  $\beta \in D$  and  $\beta$  is not a unit in  $D$ , then  $\beta$  is the form of  $c + d\sqrt{-p}$  with  $c, d \in \mathbb{Z}$ ,  $d \neq 0$ .

Let  $\alpha = \beta \cdot \gamma$  with  $\beta, \gamma \in D$ . If  $\beta$  is not a unit of  $D$ , then  $\beta$  is the form of  $c + d\sqrt{-p}$  with  $c, d \in \mathbb{Z}$ ,  $d \neq 0$  by the above argument.

Furthermore, since

$$\begin{aligned} |N(\alpha)| &= |N(\beta)||N(\gamma)| \\ &= 1 + a^2p \\ &= q \cdot r, \text{ and} \end{aligned}$$

by equation (1),  $|N(\beta)| > q$  we have  $|N(\gamma)| = 1$ , that is,  $\gamma$  is a unit of  $D$  by Remark 4(b). This means that  $\alpha$  is an irreducible of  $D$ .

Similarly, one can show that  $\alpha' = 1 - a\sqrt{-p}$  is an irreducible of  $D$ .

Now suppose  $q = q_1 \cdot q_2$  where  $q_1, q_2$  are non-units in  $D$ . Then

$$q^2 = N(q) = N(q_1 \cdot q_2) = N(q_1)N(q_2) \Rightarrow N(q_1) = q$$

by Remark 4(b). Let  $q_1 = e + f\sqrt{-p}$  where  $e, f \in \mathbb{Z}$ . Then

$$q = N(q_1) = e^2 + f^2p \Rightarrow e^2 = q \text{ and } f = 0,$$

for  $p > q$ , which is a contradiction since  $q$  is a prime in  $\mathbb{Z}$ . In other words,  $q$  is an irreducible of  $D$ .

Furthermore, since  $D$  has only units  $\pm 1$ , it is obvious that  $1 + a\sqrt{-p}$  and  $q$  cannot be associates in  $D$ . Therefore,  $\alpha = 1 + a^2p$  can be factored by two different products of irreducibles in  $D$ , and hence  $D$  is not a UFD, as we desired.  $\square$

Here are some examples of a factorization domain, but not UFD. Furthermore one can make many examples as in Example 8.

**Example 8.** (a) Let  $\mathbb{Z}[\sqrt{-5}] = \{a + b\sqrt{-5} \mid a, b \in \mathbb{Z}\}$ . Choose  $p = 5$  and  $a = 3$  in Theorem 7.

$$\begin{aligned} N(1 + 3\sqrt{-5}) &= 1 + 3^2 \cdot 5 \\ &= 46 \\ &= 2 \cdot 23 \end{aligned}$$

for distinct prime numbers  $2, 23 \in \mathbb{Z} - \{0\}$  with  $2 < 5$ .

(b) Let  $\mathbb{Z}[\sqrt{-13}] = \{a + b\sqrt{-13} \mid a, b \in \mathbb{Z}\}$ . Choose  $p = 13$  and  $a = 3$  in Theorem 7.

$$\begin{aligned} N(1 + \sqrt{-13}) &= 1 + 3^2 \cdot 13 \\ &= 118 \\ &= 2 \cdot 59 \end{aligned}$$

for distinct prime numbers  $2, 29 \in \mathbb{Z} - \{0\}$  with  $2 < 13$ .

- (c) Let  $\mathbb{Z}[\sqrt{-17}] = \{a + b\sqrt{-17} \mid a, b \in \mathbb{Z}\}$ . Choose  $p = 17$  and  $a = 2$  in Theorem 7.

$$\begin{aligned} N(1 + 2\sqrt{-17}) &= 1 + 2^2 \cdot 17 \\ &= 69 \\ &= 3 \cdot 23 \end{aligned}$$

for distinct prime numbers  $3, 23 \in \mathbb{Z} - \{0\}$  with  $3 < 17$ .

- (d) Let  $\mathbb{Z}[\sqrt{-19}] = \{a + b\sqrt{-19} \mid a, b \in \mathbb{Z}\}$ . Choose  $p = 19$  and  $a = 2$  in Theorem 7.

$$\begin{aligned} N(1 + 2\sqrt{-19}) &= 1 + 2^2 \cdot 19 \\ &= 77 \\ &= 7 \cdot 11 \end{aligned}$$

for distinct prime numbers  $7, 11 \in \mathbb{Z} - \{0\}$  with  $7 < 11$ .

### 3. Some Example of Non-UFD but FD of Type $\mathbb{Z}[\sqrt{p}]$

In the previous section, we gave some examples of an integral domain which is not a UFD but a FD of type  $\mathbb{Z}[\sqrt{-p}]$  for some prime  $p$ . In this section, we shall give some examples of a non-UFD but a FD of type  $\mathbb{Z}[\sqrt{p}]$  for a prime number  $p$ .

**Lemma 9.** *Let  $p$  be a prime number and let  $D := \mathbb{Z}[\sqrt{p}] = \{a+b\sqrt{p} \mid a, b \in \mathbb{Z}\}$ . Define  $N(a + b\sqrt{p}) = a^2 - pb^2$ . Then*

- (a)  $N : D \rightarrow \mathbb{Z}$  is a multiplicative norm.
- (b)  $\alpha \in D$  is a unit if and only if  $N(\alpha) = \pm 1$

*Proof.* (a) Let  $\alpha = a + b\sqrt{p}$  with  $a, b \in \mathbb{Z}$ . Then it is obvious that  $N(\alpha) = a^2 - pb^2 = 0$  if and only if  $a = b = 0$ , that is,  $\alpha = 0$ .

Now let  $\alpha = a + b\sqrt{p}$ , and  $\beta = c + d\sqrt{p} \in \mathbb{Z}$  where  $a, b, c$  and  $d$  in  $\mathbb{Z}$ . Then

$$\begin{aligned}
 N(\alpha \cdot \beta) &= N((a + b\sqrt{p}) \cdot (c + d\sqrt{p})) \\
 &= N((ac + bdp) + (ad + bc)\sqrt{p}) \\
 &= (ac + bdp)^2 - p(ad + bc)^2 \\
 &= a^2c^2 + b^2d^2p^2 - a^2d^2p - b^2c^2p \\
 &= a^2c^2 + b^2d^2p^2 - p(a^2d^2 + b^2c^2), \text{ and} \\
 N(\alpha) \cdot N(\beta) &= N(a + b\sqrt{p}) \cdot N(c + d\sqrt{p}) \\
 &= (a^2 - pb^2)(c^2 - pd^2) \\
 &= a^2c^2 - a^2d^2p - b^2c^2p + b^2d^2p^2 \\
 &= (a^2c^2 + b^2d^2p^2) - p(a^2d^2 + b^2c^2),
 \end{aligned}$$

and hence  $N(\alpha \cdot \beta) = N(\alpha) \cdot N(\beta)$ .

(b) Let  $\alpha = a + b\sqrt{p}$  be a unit in  $D$  with  $a, b \in \mathbb{Z}$ . Then by Remark 4(b),  $|N(\alpha)| = |N(a + b\sqrt{p})| = |a^2 - pb^2| = 1$ , that is,  $N(\alpha) = \pm 1$ .

Conversely, assume  $N(\alpha) = \pm 1$ , where  $\alpha = a + b\sqrt{p}$  in  $D$  with  $a, b \in \mathbb{Z}$ .

Then  $N(\alpha) = a^2 - pb^2 = (a + b\sqrt{p})(a - b\sqrt{p}) = \pm 1$ , that is,  $\alpha = a + b\sqrt{p}$  is a unit of  $D$ , which completes the proof.  $\square$

**Lemma 10.** *Let  $p$  be a prime integer and let  $D := \mathbb{Z}[\sqrt{p}] = \{a + b\sqrt{p} \mid a, b \in \mathbb{Z}\}$ . Then  $D$  is a FD.*

*Proof.* We shall prove this by induction on  $|N(\alpha)|$ . First, assume that  $|N(\alpha)| = 2$ . Then  $|N(\alpha)| = 2$  is a prime in  $\mathbb{Z}$ , and so  $\alpha$  is an irreducible of  $D$  by Remark 4 (b).

Now assume  $|N(\alpha)| > 2$ . If  $\alpha$  is an irreducible of  $D$ , then we are done. Suppose  $\alpha = \beta \cdot \gamma$  with  $\beta, \gamma \in D$  and  $\beta, \gamma$  are non-units. Since

$$\begin{aligned} |N(\alpha)| &= |N(\beta \cdot \gamma)| \\ &= |N(\beta) \cdot N(\gamma)| \\ &= |N(\beta)||N(\gamma)|, \end{aligned}$$

$|N(\beta)| > 1$  and  $|N(\gamma)| > 1$ , we have  $1 < |N(\beta)|, |N(\gamma)| < |N(\alpha)|$  by Lemma 9 (b) (or Remark 4(a)). Hence by induction on  $|N(\alpha)|$ ,  $\beta = p_1 \cdots p_r$ ,  $\gamma = q_1 \cdots q_s$  where  $p_i, q_j$  are all irreducibles of  $D$ . Therefore,  $\alpha = \beta \cdot \gamma = (p_1 \cdots p_r) \cdot (q_1 \cdots q_s)$ , as we wished.  $\square$

Recall that if  $m$  is a positive integer, we say that the integer  $a$  is a **quadratic residue of  $m$**  if  $(a, m) = 1$  and the congruence  $x^2 \equiv a \pmod{m}$  has a solution. If the congruence  $x^2 \equiv a \pmod{m}$  has no solution, we say that  $a$  is a **quadratic nonresidue of  $m$** .

**Definition 11** ([1]). Let  $p$  be an odd prime and  $a$  be an integer not divisible by  $p$ . The **Legendre symbol**  $\left(\frac{a}{p}\right)$  is defined by

$$\left(\frac{a}{p}\right) = \begin{cases} 1 & \text{if } a \text{ is a quadratic residue of } p. \\ -1 & \text{if } a \text{ is a quadratic nonresidue of } p. \end{cases}$$

**Example 12.** The previous example shows that the Legendre symbols

$\left(\frac{a}{17}\right)$ ,  $a = 1, 2, \dots, 16$  have the following values:

$$= \begin{pmatrix} 1 \\ 17 \end{pmatrix} = \begin{pmatrix} 2 \\ 17 \end{pmatrix} = \begin{pmatrix} 4 \\ 17 \end{pmatrix} = \begin{pmatrix} 8 \\ 17 \end{pmatrix} = \begin{pmatrix} 9 \\ 17 \end{pmatrix} = \begin{pmatrix} 13 \\ 17 \end{pmatrix} \\ = \begin{pmatrix} 15 \\ 17 \end{pmatrix} = \begin{pmatrix} 16 \\ 17 \end{pmatrix} = 1,$$

$$= \begin{pmatrix} 3 \\ 17 \end{pmatrix} = \begin{pmatrix} 5 \\ 17 \end{pmatrix} = \begin{pmatrix} 6 \\ 17 \end{pmatrix} = \begin{pmatrix} 7 \\ 17 \end{pmatrix} = \begin{pmatrix} 10 \\ 17 \end{pmatrix} = \begin{pmatrix} 11 \\ 17 \end{pmatrix} \\ = \begin{pmatrix} 12 \\ 17 \end{pmatrix} = \begin{pmatrix} 14 \\ 17 \end{pmatrix} = -1.$$

**Theorem 13** (Theorem 11.3 (Euler's Criterion), [1]). *Let  $p$  be an odd prime and let  $a$  be a positive integer not divisible by  $p$ . Then*

$$\left(\frac{a}{p}\right) \equiv a^{(p-1)/2} \pmod{p}.$$

**Theorem 14** (Theorem 11.4, [1]). *Let  $p$  be an odd prime and  $a$  and  $b$  be integers not divisible by  $p$ . Then*

(a) *if  $a \equiv b \pmod{p}$ , then  $\left(\frac{a}{p}\right) = \left(\frac{b}{p}\right)$ .*

(b)  $\left(\frac{a}{p}\right) \left(\frac{b}{p}\right) = \left(\frac{ab}{p}\right)$ .

(c)  $\left(\frac{a^2}{p}\right) = 1$ .

**Theorem 15** (Theorem 11.5, [1]). *If  $p$  is an odd prime, then*

$$\left(\frac{-1}{p}\right) = \begin{cases} 1 & \text{if } p \equiv 1 \pmod{4}. \\ -1 & \text{if } p \equiv -1 \pmod{4}. \end{cases}$$

**Example 16.** Let  $p = 29$ . By Theorem 15, since  $29 \equiv 1 \pmod{4}$ ,  $\left(\frac{-1}{p}\right) = 1$ . Hence  $-1$  is a quadratic residue of 29.

**Theorem 17** (Theorem 11.6, [1]). *If  $p$  is an odd prime, then*

$$\left(\frac{2}{p}\right) = (-1)^{(p^2-1)/8}.$$

*Hence, 2 is a quadratic residue of all primes  $p \equiv \pm 1 \pmod{8}$  and a quadratic nonresidue of all primes  $p \equiv \pm 3 \pmod{8}$ .*

**Example 18.** (a) Let  $p = 31$ . By Theorem 17,

$$\left(\frac{2}{p}\right) = (-1)^{(31^2-1)/8} = 1,$$

that is, 2 is a quadratic residue of 31.

(b) Let  $p = 29$ . By Theorem 17,

$$\left(\frac{2}{p}\right) = (-1)^{(29^2-1)/8} = -1,$$

that is, 2 is a quadratic nonresidue of 29.

**Theorem 19.** *Let  $p$  be a prime number and let  $D := \mathbb{Z}[\sqrt{2p}] = \{a + b\sqrt{2p} \mid a, b \in \mathbb{Z}\}$ . Assume that  $\pm 2$  is quadratic nonresidues of  $p$ . Then  $\pm 2$  is an irreducible of  $D$ . Furthermore, if  $\alpha \in D$  and  $N(\alpha) = 2q$  for some odd prime number  $q \in \mathbb{Z}$ , then  $\alpha$  is an irreducible of  $D$ .*

*Proof.* Define  $N$  on  $D$  as follows:

$$N(a + b\sqrt{2p}) = a^2 - 2pb^2 \text{ with } a, b \in \mathbb{Z}$$

Note that  $N$  is a multiplicative norm on  $D$  by Lemma 9. Moreover,  $\alpha$  is a unit of  $D$  if and only if  $N(\alpha) = \pm 1$ .

Now suppose  $\pm 2 = \alpha \cdot \beta$  where  $\alpha, \beta \in D$ . Then  $N(\pm 2) = N(\alpha \cdot \beta) =$

$N(\alpha) \cdot N(\beta) = 4$ , that is,  $N(\alpha) = \pm 1, \pm 2, \pm 4$ . If  $N(\alpha) = \pm 1$ , then  $\alpha$  is a unit of  $D$ . If  $N(\alpha) = \pm 4$ , then  $N(\beta) = \pm 1$ , that is,  $\beta$  is a unit of  $D$ .

Assume  $N(\alpha) = \pm 2$ . Let  $\alpha = a + b\sqrt{2p}$  where  $a, b \in \mathbb{Z}$ . Then

$$N(\alpha) = a^2 - 2pb^2 = \pm 2 \Rightarrow a^2 \equiv \pm 2 \pmod{p},$$

which means that  $\pm 2$  is a quadratic residue of  $p$ , a contradiction. Thus  $N(\alpha) \neq \pm 2$  for any non-unit in  $D^* = D - \{0\}$ . Hence  $\pm 2$  is an irreducible of  $D$ .

Suppose  $\alpha$  is reducible in  $D$ . Let  $\alpha = \beta \cdot \gamma$  where  $\beta, \gamma \in D$  and  $\alpha = a + b\sqrt{2p}$  with  $a, b \in \mathbb{Z}$ . Then

$$\begin{aligned} N(\alpha) &= N(\beta \cdot \gamma) \\ &= N(\beta) \cdot N(\gamma) \\ &= a^2 - 2pb^2 \\ &= 2q, \end{aligned}$$

which follows that  $N(\beta) = \pm 1, \pm 2, \pm q, \pm 2q$ . However, by the above argument, we know that  $N(\beta) \neq \pm 2$  for any  $\beta$  in  $D$ . In other words, for any non-zero divisor  $\beta$  of  $\alpha$ ,  $N(\beta) = \pm 1, \pm 2q$ . Thus if  $N(\beta) = \pm 1$ , then  $\beta$  is a unit in  $D$ , and if  $N(\beta) = \pm 2q$ , that is,  $N(\gamma) = \pm 1$ , then  $\gamma$  is a unit in  $D$ . Hence,  $\alpha$  is an irreducible of  $D$ , as we wished.  $\square$

**Example 20.** (a) Consider an integral domain  $D := \mathbb{Z}[\sqrt{10}]$ . Then we have  $p = 5$  in Theorem 19. Let  $p = 5$  and  $a = 1, 2, 3, 4$ . By Theorem 13,

$$\left(\frac{1}{5}\right) \equiv 1^{(5-1)/2} = 1^2 = 1 \equiv 1 \pmod{5},$$

$$\left(\frac{2}{5}\right) \equiv 2^{(5-1)/2} = 2^2 = 4 \equiv -1 \pmod{5},$$

$$\left(\frac{3}{5}\right) \equiv 3^{(5-1)/2} = 3^2 = 9 \equiv -1 \pmod{5}, \text{ and}$$

$$\left(\frac{4}{5}\right) \equiv 4^{(5-1)/2} = 4^2 = 16 \equiv 1 \pmod{5}.$$

Hence, the integers  $\pm 2$  are quadratic nonresidues of 5.

Thus by Theorem 19,  $\pm 2$  and  $\pm 3$  are irreducibles of  $D$ . In particular,

2 and 3 are irreducibles of  $D$ .

Let  $\alpha = 4 + \sqrt{10} \in D$ . Then

$$\begin{aligned} N(\alpha) &= N(4 + \sqrt{10}) \\ &= N(4 + \sqrt{2 \cdot 5}) \\ &= (4 + \sqrt{10})(4 - \sqrt{10}) \\ &= 6 \\ &= 2 \cdot 3. \end{aligned}$$

Thus, if we apply  $q = 3$  in Theorem 19, then we know that  $4 + \sqrt{10}$  is an irreducible of  $D$ .

Furthermore, if 2 and  $4 + \sqrt{10}$  are associate in  $D$ , then

$$2 \cdot (a + b\sqrt{10}) = 4 + \sqrt{10},$$

for some  $a, b \in \mathbb{Z}$ ,  $a + b\sqrt{10}$  is a unit in  $D$ . Then  $2b = 1$ , which is impossible. Therefore, 2 and  $4 + \sqrt{10}$  are not associates in  $D = \mathbb{Z}[\sqrt{10}]$ . In other words, 6 can be factored into two different products of irreducibles of  $D$ . Therefore,  $\mathbb{Z}[\sqrt{10}]$  is not a UFD, but FD by Lemma 10.

- (b) Now consider another integral domain  $D := \mathbb{Z}[\sqrt{26}]$ . Let  $p = 13$  and  $a = 1, 2, \dots, 12$ .

By Theorem 13,

$$\left(\frac{1}{13}\right) = \left(\frac{3}{13}\right) = \left(\frac{4}{13}\right) = \left(\frac{9}{13}\right) = \left(\frac{10}{13}\right) = \left(\frac{12}{13}\right) = 1.$$

$$\left(\frac{2}{13}\right) = \left(\frac{5}{13}\right) = \left(\frac{6}{13}\right) = \left(\frac{7}{13}\right) = \left(\frac{8}{13}\right) = \left(\frac{11}{13}\right) = -1.$$

Hence, the quadratic nonresidue of 13 are  $\pm 2, \pm 5, \pm 6$ .

Thus by Theorem 19,  $\pm 2$  is an irreducible of  $D$ .

Consider  $\alpha = 8 + \sqrt{26} \in D$ . Then

$$\begin{aligned} N(\alpha) &= N(8 + \sqrt{26}) \\ &= N(8 + \sqrt{2 \cdot 13}) \\ &= (8 + \sqrt{26})(8 - \sqrt{26}) \\ &= 38 \\ &= 2 \cdot 19. \end{aligned}$$

Thus, if we choose  $q = 19$  in Theorem 19, then we know that  $8 + \sqrt{26}$  is an irreducible of  $D$ .

Furthermore, if 2 and  $8 + \sqrt{26}$  are associates in  $D$ , then

$$2 \cdot (a + b\sqrt{26}) = 8 + \sqrt{26}$$

for some  $a, b \in \mathbb{Z}$ ,  $a + b\sqrt{26}$  is a unit in  $D$ . Then  $2b = 1$ , which is impossible. Therefore, 2 and  $8 + \sqrt{26}$  are not associates in  $D$ . In other words, 38 can be factored into two different products of irreducibles of  $D$ . Therefore,  $\mathbb{Z}[\sqrt{26}]$  is not a UFD, but FD by Lemma 10.

- (c) Now consider another integral domain  $D := \mathbb{Z}[\sqrt{58}]$ . Let  $p=29$  and  $a=1,2,\dots,28$ .

By Theorem 13,

$$= \left( \frac{1}{29} \right) = \left( \frac{4}{29} \right) = \left( \frac{5}{29} \right) = \left( \frac{6}{29} \right) = \left( \frac{7}{29} \right) = \left( \frac{9}{29} \right) = \left( \frac{13}{29} \right) \\ = \left( \frac{16}{29} \right) = \left( \frac{20}{29} \right) = \left( \frac{22}{29} \right) = \left( \frac{23}{29} \right) = \left( \frac{24}{29} \right) = \left( \frac{25}{29} \right) = \left( \frac{28}{29} \right) = 1.$$

$$= \left( \frac{2}{29} \right) = \left( \frac{3}{29} \right) = \left( \frac{8}{29} \right) = \left( \frac{10}{29} \right) = \left( \frac{11}{29} \right) = \left( \frac{12}{29} \right) = \left( \frac{14}{29} \right) \\ = \left( \frac{15}{29} \right) = \left( \frac{17}{29} \right) = \left( \frac{18}{29} \right) = \left( \frac{19}{29} \right) = \left( \frac{21}{29} \right) = \left( \frac{26}{29} \right) = \left( \frac{27}{29} \right) = -1.$$

Hence, the quadratic nonresidues of 29 are  $\pm 2, \pm 3, \pm 8, \pm 10, \pm 11, \pm 12, \pm 14$ . Thus, by Theorem 19,  $\pm 2$  is an irreducible of  $D$ .

Consider  $\alpha = 8 + \sqrt{58} \in D$ . Then

$$\begin{aligned} N(\alpha) &= N(8 + \sqrt{58}) \\ &= N(8 + \sqrt{2 \cdot 29}) \\ &= (8 + \sqrt{58})(8 - \sqrt{58}) \\ &= 6 \\ &= 2 \cdot 3. \end{aligned}$$

Thus, if we choose  $q = 3$  in Theorem 19, then we know that  $8 + \sqrt{58}$

is an irreducible of  $D$ .

Furthermore, if 2 and  $8 + \sqrt{58}$  are associates in  $D$ , then

$$2 \cdot (a + b\sqrt{58}) = 8 + \sqrt{58}$$

for some  $a, b \in \mathbb{Z}$ ,  $a + b\sqrt{58}$  is a unit in  $D$ . Then  $2b = 1$ , which is impossible. Therefore, 2 and  $8 + \sqrt{58}$  are not associates in  $D = \mathbb{Z}[\sqrt{58}]$ . In other words, 6 can be factored into two different products of irreducibles of  $D$ . Therefore,  $\mathbb{Z}[\sqrt{58}]$  is not a UFD, but FD by Lemma 10, again.

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# ABSTRACT

## Non-unique Factorization Domain, but Factorization Domain.

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In this thesis, we study an integral domain which is not a unique factorization domain, but a factorization domain.

We prove that an integral domain  $D = Z[\sqrt{-p}] = \{a + b\sqrt{-p} \mid a, b \in Z\}$ , for a prime  $p$ , is not a unique factorization domain, but a factorization domain. Furthermore, we make some examples of an integral domain  $D = Z[\sqrt{-p}]$  and an integral domain  $D = Z[\sqrt{2p}]$  which are factorization domains, but not unique factorization domains.